S

COUNTRY

PTO/SB/29 (12/97) Approved for use through 09/30/00. OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## UTILITY **PATENT APPLICATION TRANSMITTAL**

Attomey Docket No.	5914-078-999	Total Pages	<u>:</u>	
First Name	d Inventor or Application	Identifier 🕰		
Philip N. Benfey et al	•		882	
Express Mail Label No.	EL 451 594 264 L	us s	5	

	3	Only for new nonprovisional applications under 37 CFR 1.53(b))	Expre	ss Mail Label No.   EL 451 594 264 US			
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.			Assistant Commissioner for Address TO: Box Patent Application Washington, DC 20231				
1.	X	Fee Transmittal Form Submit an original, and a duplicate for fee processing)		6. □ Microfiche Computer Program (Appendix)			
2.	X	Specification [Total Page (preferred arrangement set forth below)	es 79]	7.   Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)			
		-Descriptive title of the Invention		a.   Computer Readable Copy			
		-Cross Reference to Related Applications		a. — comparer readable copy			
		-Statement Regarding Fed sponsored R&D		b.   Paper Copy (identical to computer copy)			
ŀ		-Reference to Microfiche Appendix					
		-Background of the Invention		c.   Statement verifying identity of above copies			
		-Brief Summary of the Invention		ACCOMPANYING APPLICATION PARTS			
×× <sub>E</sub>		-Brief Description of the Drawings (if filed)		AGGGIIII AITTIIG AIT EIGATIGITT AITTG			
**		-Detailed Description of the Invention (including drawings, if filed)	ı	8. □ Assignment Papers (cover sheet & document(s))			
k'i kih kin "pradu pe		-Claim(s) -Abstract of the Disclosure		9. □ 37 CFR 3.73(b) Statement □ Power of Attorney (when there is an assignee)			
3.	×	Drawing(s) (35 USC 113) [Total Shee	ets 19]	10. □ English Translation Document (if applicable)			
<b>.</b>	Ø	Oath or Declaration (unexecuted) [Total Shee	ets 2]	11. □ Information Disclosure □ Copies of IDS Statement (IDS)/PTO-1449 Citations			
	a.	□ Newly executed (original or copy)		12.   Preliminary Amendment			
	b. □ Copy from a prior application (37 CFR 1.63(d))  (for continuation/divisional with Box 17 completed)			13. ⊠ Return Receipt Postcard (MPEP 503)  (Should be specifically itemized)			
245 245		[Note Box 5 below]		14.   Small Entity Statement filed in prior application,			
Harry Harry		i. DELETION OF INVENTORS(S)		Statement(s) Status still proper and desired			
H'H HIN IIIN H.HOR'H H'H		Signed statement attached deleting inventor(s) named in a application, see 37 CFR 1.63(d)(2) and 1.33 (b).	he prior	15. □ Certified Copy of Priority Document(s) (if foreign priority is claimed)			
5.	0	Incorporation By Reference (useable if Box 4b is checked)	£46 46	16. □ Other:			
125 <sup>0</sup> 135,		The entire disclosure of the prior application, from which a copy of declaration is supplied under Box 4b, is considered as being p		<b>≜</b> **			
ař.		disclosure of the accompanying application and is hereby incorporeiterence therein.					
17		f a CONTINUING APPLICATION, check appropriate box and supple Continuation    Divisional    Continuation    CONTINUENT CIP		ite information: application No: filed .			
		18. CORRESP					
	© Customer Number or Bar Code Label 20583 or ☐ Correspondence address below						
NA	NAME						
ADDRESS			- 11				
CITY . STATE		ZIP CODE					

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**TELEPHONE** 

## PENNIE & EDMONDS LLP

COUNSELLORS AT LAW 1155 Avenue of the Americas New York, N.Y. 10036-2711 (212) 790-9090

ATTORNEY DOCKET NO. 5914-078-999

Date: May 24, 2000

**Assistant Commissioner for Patents Box PATENT APPLICATION** Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Philip N. Benfey et al.

Executed on: Unexecuted

Title of Invention:

SHORT-ROOT GENE, PROMOTER, AND USES THEREOF

## PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	F	EE
Total Claims	41	-20	21	\$18.00 each	\$	378.00
Independent	12	-3	9	\$78.00 each	\$	702.00
Minimum Fee				\$	690.00	
	Multiple Dependency Fee					
		If Applicable (\$260.00)			\$	260.00
	Total				\$	2,030.00
50% Reduction for Independent Inventor, Nonprofit						
•	Organization or Small Business Concern (a verified statement					
4	as to the applicant's status is attached)				- \$	0.00
Total Filing Fee				\$	2,030.00	

Priority of application no. filed on in is claimed under 35 U.S.C. § 119.

The certified copy of the priority application has been filed in application no. filed

Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed.

Respectfully submitted,

Atulya R. Agarwal

For: Laura A. Coruzzi (Reg. No. 30,742)

PENNIE & EDMONDS LLP

**Enclosure** 

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.